ANNEXURE 2: DUE DILIGENCE PACK

This Customer Due Diligence ("CDD") Pack outlines the documentation and information that may be requested by Premier Collective VCC ("Premier VCC") in its capacity as a VCC fund, for the purpose of complying with its obligations under applicable laws and regulations relating to Know Your Customer ("KYC"), Anti-Money Laundering ("AML"), and Counter-Terrorism Financing ("CFT").

The following constitutes an indicative list of Customer Due Diligence ("CDD") documentation that may be requested from counterparties engaging with Premier Collective VCC ("Premier VCC"), including, but not limited to, regulated financial institutions, subscribers, asset managers, and other professional clients. This list is non-exhaustive and is subject to variation based on the specific nature of the relationship and the assessed risk profile of the counterparty.

A. INDIVIDUAL (NATURAL PERSON)

Certified copies of the following documents are required:

For the Subscriber and/or Authorised Representative

- **Valid passport** showing a clear photograph and legible personal details.
- **Birth Certificate** (required for minors).
- Proof of **residential address** (not older than 3 months).
- Proof of authority to act as **Authorised Representative** (if applicable).
- **FATCA/CRS** self-certification forms.
- Documentation evidencing **Source of Funds and Source of Wealth**, *in accordance with the requirements set out in Annexure B and Annexure D.*

B. CORPORATE INVESTORS (PRIVATE COMPANIES)

Certified copies of the following documents are required:

Regulated financial services business

- **Certificate of Incorporation or Registration**. Alternatively, a Corporate Extract or other official documentation (e.g. Constitution) issued by the relevant regulatory authority evidencing the registration number.
- Latest **Register of Directors**.
- Latest **Register of Shareholders**.
- Organogram illustrating the shareholding structure to identify the **Ultimate Beneficial Owner(s) ("UBOs")** and confirmation of the nature of the business.
- Proof of **registered office address** as recorded with the relevant regulatory authority.
- Latest **Audited Financial Statements** or **Annual Report**.
- Documentation evidencing **Source of Funds** and **Source of Wealth** in accordance with Annexure B and Annexure D.
- **FATCA/CRS** self-certification forms.
- Latest List of **Authorised Signatories**.
  For each company director, authorised signatory, shareholder, beneficial owner, or senior managing official natural person), certified copies of the following are required:
    - **Valid passport** with clear photograph and legible personal details.
    - Proof of **residential address** dated within the last three (3) months.

Important: *Disclosure of Ultimate Beneficial Owners ("UBOs") is mandatory for all corporate entities. A UBO is defined as a \natural person who ultimately owns or controls the legal entity, trust, or arrangement.*

C. CORPORATE INVESTORS (TRUSTS)

Certified copies of the following documents are required:

Trusts

- **Trust Deed** (including Testamentary or Will Trusts).
- The **Will** and **letter of Executorship** in the case of Testamentary Trust.
- **Deed of Retirement** and **Appointment** (if applicable).
- Latest **Audited Financial Statements** and/or **annual report**.
- **Trustee Letter** - *Please refer to Annexure E for a Trustee Letter template.*
- Valid **passport** for each of the following: **Founder, Trustees, Settlor, Beneficiaries, Protector, Enforcer and Representative(s)**
- **Proof** of **residential address** for each of the foregoing individuals, dated within the last three (3) months.
- List of **Authorised Signatories**.
- **FATCA/CRS** self-certification forms
- Documentation evidencing **Source of Funds** and **Source of Wealth**, *in accordance with Annexure B and Annexure D.*

***Important:** Disclosure of Ultimate Beneficial Owners ("UBOs") is mandatory for trusts and other corporate entities. A UBO is defined as a natural person who ultimately owns or controls the legal entity, trust, or arrangement.*

D. CORPORATE INVESTORS (PARTNERSHIP)

Certified copies of the following documents are required:

Partnerships

- **Partnership Deed**, **Certificate** of **Registration** or other founding documents for the legal entity.
- **Register** of **Partners** or **letter** confirming the identity of members or senior managing officials.
- Proof of **registered office address**.
- Latest **Audited Financial Statements** and/or **annual report**.
- **FATCA/CRS** self-certification forms
- Documentation evidencing **Source of Funds** and **Source of Wealth**, *in accordance with Annexure B and Annexure D*.
- Valid **passport** each Partner (Natural person).
- Proof of **residential address** for each Partner who is a natural person, dated within the last three (3) months.

***Important**: Disclosure of Ultimate Beneficial Owners ("UBOs") is mandatory for partnerships and other corporate entities. A UBO is defined as a natural person who ultimately owns or controls the legal entity, trust, or arrangement.*

E. CORPORATE (SOCIETE)

Certified copies of the following documents are required:

Societe

- **Certificate** of **Registration, Acte de Société, Corporate Extract** or other founding documents for the legal entity.
- Proof of **registered office address**.
- Latest **Audited Financial Statements** and/or annual report.
- **FATCA/CRS** self-certification forms.
- Documentation evidencing **Source of Funds and Source of Wealth,** *in accordance with Annexure B and Annexure D*.
- **Valid passport** each Partner (Natural person).
- Proof of **residential address** for each Partner who is a natural person, dated within the last three (3) months.

***Important:** Disclosure of Ultimate Beneficial Owners ("UBOs") is mandatory for partnerships and other corporate entities. A UBO is defined as a natural person who ultimately owns or controls the legal entity, trust, or arrangement.*

F. CORPORATE (PUBLIC ENTITY)

Certified copies of the following documents are required:

Public Entity (Listed or Unlisted)

- **Certificate of Registration, Corporate Extract** or other founding documents for the legal entity.
- Proof of **registered office address**.
- Latest **Audited Financial Statements** and/or **annual report**.
- **FATCA/CRS** self-certification forms
- **Disclosure** of Senior Managing Officials.
- Documentation evidencing **Source of Funds** and **Source of Wealth**, *in accordance with Annexure B and Annexure D.*
- **AML/CFT Comfort Letter,** *as per the template provided in Annexure F*

Important: *Disclosure of Ultimate Beneficial Owners ("UBOs") is mandatory for trusts and other corporate entities. A UBO is defined as a natural person who ultimately owns or controls the legal entity, trust, or arrangement.*

G. CORPORATE (OMNIBUS ACCOUNTS)

Certified copies of the following documents are required:

Omnibus Accounts

- **AML/CFT Comfort Letter,** as per the template provided in Annexure F
- **Disclosure of identity of investors** on whose behalf the omnibus account is investing.
- **Customer Due Diligence ("CDD") documentation** in accordance with the requirements applicable to corporate entities as detailed above.

Important*: Disclosure of Ultimate Beneficial Owners ("UBOs") is mandatory for partnerships and other corporate entities. A UBO is defined as a natural person who ultimately owns or controls the legal entity, trust, or arrangement.*